

Preparation and *in vitro* Characterization of Ketoconazole Loaded Solid Lipid Nanoparticles using Temperature Modulated Solidification Technique

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Abstract

The intention of this research was to fabricate and characterize solid lipid nanoparticles (SLNs) laden with poorly water-soluble ketoconazole for oral or topical preparations. All the formulations were fabricated with different weight ratios of ketoconazole, glycerylmonostearate (GMS) and palmitic acid via the temperature modulated solidification technique. The effect of agitator speed and composition on the droplet-size was tested. The release rate of ketoconazole from SLNs was investigated in comparison with the plain drug powder. Other characterization was acquired using XRD, DSC, SEM, TEM and FTIR techniques. With increasing agitator speed or GMS concentration, the droplet-size was reduced. In particular, an SLN formulation containing ketoconazole, GMS and palmitic acid at the weight ratio

of 0.2/1.0/1.0 resulted in the mean droplet-size of 326.5 ± 30 nm at 26000 rpm. The release of the drug from the formulation was about 87% in 15 min. The drug existed in the amorphous form in the smooth-surfaced spherical SLNs with no covalent interaction with other constituents. Thus, the SLN formulation might be a potential candidate to be used in oral or topical preparations for improved delivery of ketoconazole.

Keywords

Antifungal, GMS, ketoconazole, poorly water-soluble, release rate, SLNs

1. Introduction

Fungal infections exist across the globe (Brown et al., 2012). Several drugs are available to treat fungal infections; however,azole derivatives are considered the best to combat fungal infections invading skin (Fromtling, 1988). Ketoconazole, a synthetic imidazole, is very effective in the management of

dermatophytosis and mycosis (Dismukes et al., 1983). It was synthesized in 1977 for the first time (Phillips and Rosen, 2001) and presented at Janssen Pharmaceutical (Heeres et al., 1979) to be used as the first azole antifungal substance for the oral administration (Finkel et al., 2009) at the increment of 200-400 mg daily (Becker, 2001). It can be used both via the oral route (Brass et al., 1982, Van der Pas et al., 1983, Borelli et al., 1979) and topical route (Faergemann et al., 2007, Jain et al., 2010, Souto and Müller, 2005). It acts against several species of invading pathogenic fungi such as *Candida* species and *Malassezia furfur* (Huang et al., 1986). Ketoconazole shampoo is useful in the management of seborrheic dermatitis of scalp and numerous body areas (Reider and Fritsch, 2012, Rossi, 2013). Ketoconazole shampoo is also effective in treating androgenic alopecia when given concomitantly with oral 5 α -reductase blocker (McElwee and Shapiro, 2012). It may be used either alone or in conjunction with other antifungal drugs in the treatment of alopecia (Khandpur et al., 2002, Piérard-Franchimont et al., 2002). Ketoconazole has been very effective in eradicating fungal invasions such as athlete's foot, candidiasis, ringworm, jock itch (Phillips and Rosen, 2001), and other systemic infections caused by *Candida*, *Histoplasma*, *Coccidioides* and *Blastomyces* (Finkel et al., 2009). Ketoconazole also blocks transformation of cholesterol to steroidal hormones such as sexocorticoids, glucocorticoids and mineralocorticoids (Jain et al., 2008, Finkel et al., 2009); thus, it has been successfully employed in the management of progressive prostatic carcinoma (Zelevsky et al., 2011). Furthermore, it has been prescribed

in the management of Cushing's syndrome (Loli et al., 1986). It is also helpful in resolving hirsutism problem (Becker, 2001). Ketoconazole binds avidly to albumin and red blood cells by about 83.7% and 15.3%, respectively; therefore, only 1% of the free drug is available for producing pharmacological action (Daneshmend and Warnock, 1988). Ketoconazole belongs to biopharmaceutical classification system (BCS) class II which has low solubility (<1 mg/ml) in aqueous media (Tsume et al., 2013). It is a highly lipophilic drug having about 84-99% protein binding property. Moreover, it is a weak base possessing a permeability coefficient of 0.0012; thus, cannot accommodate a therapeutic concentration in the epidermis of skin (Huang et al., 2005). The aqueous solubility and dissolution rate of ketoconazole have been successfully improved using solid dispersion technique (Kanaujia et al., 2011) and inclusion complex formation (Balata et al., 2010). Moreover, self-emulsifying drug delivery system (Bhattacharyya and Bajpai, 2013) and microencapsulation techniques have also been employed for bioavailability enhancement of ketoconazole (Aziz et al., 2007). Nanoemulsions, microemulsions, nanoemulgels and micro sponge gels have also been utilized for effective delivery of ketoconazole (Mahtab et al., 2016, Patel et al., 2013, Badawi et al., 2012, Saboji et al., 2011). Conventional preparations of antifungal agents, such as creams and lotions, have demonstrated less effectiveness in the management of fungal invasions. Furthermore, need of time and again application of these preparations to the skin may lead to patient non-compliance (Huang et al., 2005). Therefore, novel approaches for the expedited pervasion of antifungal drugs are desirable.

Nanoparticles (<1 µm diameter) promote the aqueous solubility, release rate and permeation of APIs. Solid lipid nanoparticles (SLNs) are a heterogeneous system in which the solid lipid is disseminated in water with one or more surfactants (Mehnert and Mäder, 2001). SLNs are in the solid form at room temperature (Muller and Lucks, 1997). They have been magnificently utilized in improving bioavailability of various drugs through the oral route (Harde et al., 2011, Kakkar et al., 2011, Severino et al., 2011, Hu et al., 2010). As compared to conventional drug delivery systems, SLNs offer more efficacy, less toxicity and ameliorated biocompatibility (Souto and Müller, 2010). Solid lipid nanoparticles (SLNs), prepared using GMS and Tween 80, have been very successful in improving release rate and oral bioavailability of various drugs such as efavirenz (Gaur et al., 2014), cryptotanshinone (Hu et al., 2010) and vinpocetine (Luo et al., 2006). SLNs protect the drugs from premature degradation in the GIT and ensure greater stability. This ultimately leads to improved bioavailability of the drug when administered via the oral route (Harde et al., 2011). Patel loaded *amphotericin B* in SLNs for enhancing its oral bioavailability (Patel and

Patravale, 2011). Moreover, in topical preparations, SLNs possess remarkable capability to pervade through the stratum corneum of the skin; thus, enhancing bioavailability (Jenning et al., 2000b, Wissing and Müller, 2003, Vyas and Khar, 2002, Požnjak, 2011). SLNs have also been extensively utilized for the delivery of several drugs, such as vitamin-A (Jenning et al., 2000a, Jennings et al., 2000b), glucocorticoids (Maia et al., 2000), tretinoin (Shah et al., 2007) and isotretinoin (Liu et al., 2007b), via the topical route. Muller elucidated the use of SLNs in cosmetics and other dermatological preparations (Müller et al., 2002).

SLNs promote drug permeation across the skin (Jenning et al., 2000a, Wissing and Müller, 2003), provide protection from ultraviolet radiations (Wissing and Müller, 2003) and mitigate irritation (Sivaramakrishnan et al., 2004) when used topically. SLNs are preferred over liposomes owing to greater physical stability, cost effectiveness, convenience of scale-up and fabrication (Wissing and Müller, 2003). Furthermore, SLNs are comparable to liposomes in epidermal penetration (Liu et al., 2007b), transport through follicles (Münster et al., 2005) and controlled delivery of drugs (Müller et al., 2000). Thus, SLNs

possess several merits, and circumvent demerits of other colloidal systems for drug transportation such as liposomes, polymeric nanoparticles and microemulsions (Utreja and Jain, 2001). SLNs technique has been successfully utilized to enhance the effective delivery of numerous antifungal drugs such as clotrimazole (Das et al., 2012), fluconazole (Gupta and Vyas, 2012), econazole (Sanna et al., 2007), miconazole (Bhalekar et al., 2009), terbinafine (Vaghasiya et al., 2013) and amphotericin B (Patel and Patravale, 2011) via both the oral and topical routes of administration.

SLNs are very easy to formulate. Several methods such as temperature modulated solidification technique (Patel et al., 2014), high-pressure homogenization (Gupta et al., 2017), high-speed stirring, emulsification-solvent diffusion (Emami et al., 2015), high shear homogenization (Domb, 2005), melt emulsification (Ahlin et al., 1998), hot homogenization (Lander et al., 2000, Jahnke, 2001), cold homogenization (Zur Mühlen, 1996), ultrasonication (Eldem et al., 1991), solvent emulsification (Sjöström and Bergenståhl, 1992), supercritical fluid method (Chen et al., 2006), double emulsion method (Cortesi et al., 2002) and phase inversion temperature method

(Gao and McClements, 2016) have been useful in the fabrication of SLNs. In the temperature modulated solidification technique of SLNs formation, usually lipid matrix is first molten by heating to the melting point of the lipid. The drug is dissolved in this molten lipid. The solution is then dispersed in an aqueous solution of a surfactant maintained at the same temperature. After homogenization, the hot dispersion is gradually congealed. As the temperature drops down, the drug-loaded molten lipid solidifies. The drug may exist in the amorphous state in the lipid matrix or may recrystallize with less intensity. As SLNs are usually of $< 1 \mu\text{m}$ particle-size, the loaded drug, if recrystallized, also exist in nanocrystalline state.

Lipids and emulsifiers are part and parcel of SLNs. Various lipids, such as triglycerides tricaprin (Domb, 1995), trilaurin (Westesen and Bunjes, 1995, Bunjes et al., 1996), trimyristin (Müller et al., 1996), tripalmitin (Siekmann and Westesen, 1996), tristearin (Ahlin et al., 1998) and hydrogenated coco glycerides (Almeida et al., 1997)] and hard fats witepsols (Almeida et al., 1997, Westesen et al., 1997), cetylpalmitate (Freitas and Müller, 1998), glycerylmonostearate (Cavalli et al., 1999), glycerylbehenate (Zur Mühlen,

1996), glycerylpalmitostearate (Müller et al., 1996), stearic acid (Bocca et al., 1998), palmitic acid (Gasco et al., 1992), decanoic acid (Gasco et al., 1992), behenic acid (Cavalli et al., 1997) and acidan N12 (Cavalli et al., 1997)] have been exploited in the development of SLNs. Likewise, emulsifiers such as soybean lecithin (Yang et al., 1999), egg lecithin (Gasco, 1993), phosphatidylcholine (Liu et al., 2007a), poloxamers (Almeida et al., 1997, Müller et al., 1997), poloxamine (Müller et al., 1996), tyloxapol (Siekman and Westesen, 1994), polysorbates (Müller et al., 1997), sodium cholate (Liu et al., 2007a), sodium glycocholate (Bunjes et al., 2003), sodium taurocholate (Cavalli et al., 2002) and sodium docusate (Yuan et al., 2009) have been employed in the fabrication of SLNs.

In the present study, ketoconazole-loaded SLNs were formed with GMS and palmitic acid using temperature modulated solidification technique in conjunction with emulsification-solvent diffusion method and high speed stirring (Patel et al., 2014, Emami et al., 2015). The particle-size and release rates of the formulations were determined. Characterization of the optimized

formulation was perused using X-ray diffraction (XRD), differential scanning calorimetry (DSC), scanning electron microscopy (SEM), transmission electron microscopy (TEM) and Fourier-transform infrared (FTIR) spectroscopy.

2. Materials and Methods

2.1 Materials

Ketoconazole (assay \geq 99%) and palmitic acid were purchased from Sigma-Aldrich Co. (St. Louis, MO, USA). Glyceryl monostearate was from BDH Laboratory Supplies (Poole Dorset, UK). Tween 80 was from Daejung Chemical Co. (Siheung, South Korea). All other chemicals were of reagent grade.

2.2 Preparation of Ketoconazole-Loaded SLNs

Ketoconazole-loaded SLNs were prepared with GMS and palmitic acid using temperature modulated solidification technique in conjunction with emulsification-solvent diffusion method, high speed stirring and hot homogenization (Patel et al., 2014, Emami et al., 2015). The complete composition of ketoconazole loaded SLNs is given in Table 1.

bath, centrifuged (5000xg) for 5 minutes

Table 1. Composition of SLNs.

Components (g)	Formulations						
	K1	K2	K3	K4	K5	K6	K7
Ketoconazole	0.2	0.2	0.2	0.2	0.2	0.2	0.2
GMS	0	0.333	0.666	1	1.333	1.667	2
Palmitic Acid	2	1.667	1.333	1	0.666	0.333	0

Solution of ketoconazole was formed in 15 ml ethanol in a 100 ml beaker at 70°C. Accurately weighed (Weighing balance TX323L, Shimadzu, Kyoto, Japan) quantity of GMS was added to the ethanolic solution of the drug maintained at 70°C followed by palmitic acid. Both GMS and Palmitic acid were melted at 70°C. To the resultant homogeneous ethanoic mixture of dissolved constituents, 50 ml of 4% (w/v) aqueous solution of Tween 80, previously heated and maintained at 70°C, was added to it and mixed for a minute by using magnetic stirring to get a pre-emulsion. This pre-emulsion was then incorporated into 150 ml of 4% (w/v) aqueous solution of Tween 80 maintained at the same temperature in a 500 ml beaker and homogenized for 10 minutes employing a high-speed homogenizer (SilentCrusher M, Heidolph Instruments, Schwabach, Germany) at 70°C. The resultant dispersion was slowly cooled in an ice-

and the supernatant was discarded. The residue, consisting of SLNs, was rinsed thoroughly with cold distilled water and used for further study.

2.3 Effect of Agitator Speed on Droplet-Size of the Scattered Phase

For assessing the influence of agitator speed of the homogenizer (SilentCrusher M, Heidolph Instruments, Schwabach, Germany) on the average droplet-size of the scattered phase, five samples of a formulation consisting of ketoconazole, GMS and palmitic acid (0.2/1.0/1.0, w/w/w) were prepared using the above-mentioned preparation method separately. Each of the five samples was homogenized at a fixed agitator speed of 1000 rpm, 5000 rpm, 10,000 rpm, 15,000 rpm or 26000 rpm. Twenty microliter of each hot dispersion was diluted with 5 ml of 4% (w/v) aqueous solution of Tween 80 maintained at 70°C. One milliliter of this diluted dispersion was analyzed using Zetasizer (Malvern instruments, Malvern, UK) and

the average droplet-size of the scattered phase was measured.

2.4 Effect of Relative Quantity of Constituents on Droplet-Size of the Scattered Phase

In order to investigate the impact of relative quantity of GMS and palmitic acid on droplet-size of the scattered phase, several SLN formulations were prepared by the same method with varying quantities of GMS and palmitic acid while keeping ketoconazole quantity constant. The agitator speed was fixed at 26000 rpm. A detailed composition of all the formulations is displayed in Table 1. Twenty microliter of each hot-dispersion was diluted with 5 ml of 4% (w/v) aqueous solution of Tween 80 at 70°C. One milliliter of this diluted dispersion was analyzed using a Zetasizer (Malvern instruments, Malvern, UK) and the average droplet-size of the scattered phase was measured.

2.5 Drug Content and Entrapment Efficiency

Ketoconazole, GMS and palmitic acid were completely dissolved to make an absolutely homogeneous system at 70°C in the molten state; therefore, it was considered that the entrapment efficiency was equivalent to the drug content (Luo

et al., 2006, Patel et al., 2014). Each SLN formulation, equivalent to 10 mg ketoconazole, was dissolved in 100 ml ethanol in a 100 ml measuring flask and diluted appropriately to 25 µg/ml expected concentration of the drug. This dilution was analyzed by the UV-visible double beam spectrophotometer (Dynamica Scientific, Pagnell, UK) at 222 nm for determining actual concentration. The ketoconazole content in SLNs was calculated by the following formula:

$$K_e = K_a/K_t * 100. \text{-----Eq. 1}$$

Where, K_e is ketoconazole content, K_a is actual concentration measured by the UV-visible spectrophotometer, and K_t is the theoretical concentration (25 µg/ml).

Moreover, drug loading capacity (K_{lc}) was also determined by the following formula:

$$K_{lc} = K_l/K_t * 100. \text{-----Eq. 2}$$

Where, K_l is actual quantity of ketoconazole entrapped in the inner phase, and K_t is the total quantity of the lipids (GMS and palmitic acid) against K_l plus amount of drug added (Luo et al., 2006, Ramasamy et al., 2012).

2.6 Drug Release Analysis

Each SLN formulation, containing 20 mg ketoconazole, was scattered in 1 ml of 4% (w/v) aqueous solution of Tween 80. This dispersion was transferred to a

dialysis bag (Spectra/Por® dialysis membrane, MWCO 12000, Spectrum Laboratories, Rancho Dominguez, CA, USA) and sealed appropriately. The filled dialysis bag was placed in a glass beaker carrying 100 ml of the phosphate buffer saline (PBS) adjusted at pH 7.4 and temperature maintained at $37\pm 0.5^\circ\text{C}$ by the outer water-bath. The magnetic stirring was adjusted at 100 rpm. At predetermined time intervals, 1 ml sample was withdrawn and the medium was compensated with 1 ml fresh PBS maintained at $37\pm 0.5^\circ\text{C}$. After filtration (0.2 μm PTFE syringe filter) and appropriate dilution with PBS, 2 ml sample was analyzed by the UV-visible double beam spectrophotometer (Dynamica Scientific, Pagnell, UK) at 222 nm. Blank PBS was used as reference during analysis.

2.7 XRD Analysis

Crystalline aspects of ketoconazole-loaded SLNs, all individual constituents and their physical mixture were assessed using an X-ray diffractometer (D/MAX-2500 PC, Rigaku Corporation; Tokyo, Japan). A $\text{Cu-K}\alpha_1$ monochromatic radiation source was used by applying a voltage of 50 kV and a current of 100 mA. Each XRD pattern was recorded by scanning in the stretch of 8° - 72° with 2θ scanning mode. The scan speed and step

size were $5^\circ/\text{minute}$ and $0.02^\circ/\text{second}$, respectively. The physical mixture was prepared by triturating solid ketoconazole, GMS and palmitic acid in the weight ratio of 0.2/1.0/1.0, respectively.

2.8 DSC Analysis

Thermal features of ketoconazole-loaded SLNs, all individual constituents and physical mixture were examined with a differential scanning calorimeter (DSC Q20, TA Instruments; New Castle, Delaware, USA). Each sample (about 5-10 mg) was properly sealed in an aluminium crucible. Then, the sealed crucible was heated in the stretch of 30 - 300°C with heating speed of $10^\circ\text{C}/\text{minute}$. A blank aluminum pan with lid was placed as a reference in the heating chamber.

2.9 SEM Analysis

Shape and surface morphology of ketoconazole-loaded SLNs was studied using scanning electron microscope (S-4800, Hitachi, Japan). The double adhesive tape was clung on an aluminum stub. Very minute quantity of SLNs was adhered to the exposed surface of the tape with the help of a common pin and observed after coating.

2.10 TEM Analysis

The morphology of ketoconazole-loaded SLNs was also inspected using a

transmission electron microscope (JEM-1230; JEOL, Tokyo, Japan). After appropriate dilution, sample was applied to a film-coated copper grid. Subsequently, a drop of 3% phosphotungstic acid was poured onto the film and left it to evaporate for 15 minutes. Then, the stained sample was examined using TEM (Ramasamy et al., 2012, Shi et al., 2012).

2.11 FTIR Analysis

Each of ketoconazole-loaded SLNs, individual constituents and physical mixture were analyzed by an FTIR spectrophotometer (Nicolet-6700, Pittsburgh, PA, USA) in the stretch of 400-4000 cm^{-1} with 2 cm^{-1} resolution.

3. Results and Discussion

Solid lipid nanoparticles (SLNs), prepared using GMS and Tween 80, have been very successful in improving release rate and oral bioavailability of various drugs such as efavirenz (Gaur et al., 2014), cryptotanshinone (Hu et al., 2010) and vinpocetine (Luo et al., 2006). In the present study, ketoconazole loaded SLNs were prepared using GMS, palmitic acid and Tween 80. The melting points of ketoconazole, GMS and palmitic acid are 148-152°C, 58-59°C and 62.9°C, respectively. The boiling point of ethanol is 78.37°C. In hot state, SLNs are (o/w) emulsions. The drug is

loaded in the oil phase. The solubility of ketoconazole in warm ethanol is 20 mg/ml. The solubility of GMS in ethanol is 0.75 g/ml at 60°C (Organization, 2006). The solubility of palmitic acid in ethanol is 0.319 g/ml at 40°C (Seidell, 1919). In the present work, first of all, the drug was dissolved in a little quantity of ethanol to get a clear solution. This solution was heated at 70°C (i.e., above the melting points of GMS and palmitic acid but below the boiling point of ethanol). Then, GMS was added to it which melted in this hot ethanolic solution of the drug. Subsequently, palmitic acid was added after GMS completely dissolved. To this ternary solution, containing completely dissolved ketoconazole, GMS and palmitic acid, 50 ml of 4% (w/v) aqueous Tween 80 solution (which was previously heated and maintained at 70°C) was transferred into it and stirred to get a pre-emulsion. This pre-emulsion was then incorporated into 150 ml of 4% (w/v) aqueous Tween 80 solution at 70°C and homogenized. The benefit of this method is that greater drug loading and entrapment efficiency can be ensured.

3.1 Effect of Agitator Speed on Droplet-Size

The five samples of the formulation

consisting of ketoconazole, GMS and palmitic acid (0.2/1.0/1.0, w/w/w), each sample run at one of the fixed agitator speed of 1000 rpm, 5000 rpm, 10000 rpm, 15000 rpm or 26000 rpm, resulted in the average droplet-size ($n = 3$) of 1273 ± 49 nm, 914 ± 44 nm, 734 ± 40 nm, 478 ± 37 nm and 326 ± 30 nm, respectively (Fig. 1A). It is obvious that with increasing agitator speed, droplet-size was reduced (Yang et al., 2013); therefore, an agitator speed of 26000 rpm was fixed for further investigation.

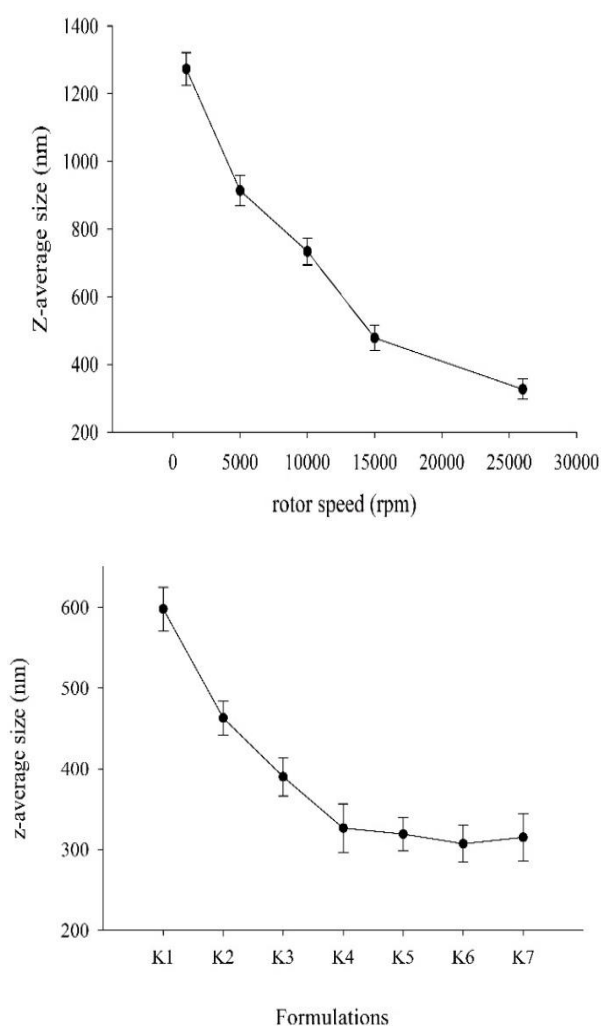


Fig. 1. Effect of rotor speed (A) and formulation composition (B) on the average droplet-size of the inner phase

3.2 Effect of Constituents on Droplet-Size

Effect of the compositions of SLNs on the average droplet-size ($n = 3$) of the inner phase is shown in (Fig. 1B). The full composition of SLNs is displayed in Table 1. With increasing concentration of GMS and decreasing concentration of palmitic acid in formulations K1-K4, the particle size was reduced significantly. This indicated that GMS is more efficiently dispersible than palmitic acid in the aqueous solution of Tween 80. However, further increase in GMS in formulations K4-K7 had no significant influence on droplet-size reduction. The surfactant is essential for reducing interfacial tension between lipid and water in hot state. Moreover, it prevents coalescence during cooling to get an SLN formulation (Zhang et al., 2009).

3.3 Drug Release Analysis

The release profiles of ketoconazole from SLNs formulations are shown in Fig. 2. In 10 minutes, the mean release of ketoconazole ($n = 6$) with plain drug powder, K1, K2, K3, K4, K5, K6 and K7 was $5.06 \pm 2.59\%$, $8.94 \pm 2.70\%$, $28.95 \pm 3.87\%$, $45.40 \pm 3.57\%$, $73.27 \pm 8.03\%$, $78.24 \pm 10.16\%$, $85.48 \pm 4.09\%$ and $86.19 \pm 5.20\%$, respectively. With increasing concentration of GMS in formulations

(K1-K4), the release rate was increased, while the results of release rate with formulations K4-K7 were not significantly different from one another. This suggested that these results were in accordance with the droplet-sizes of the formulations. The acceleration in release rate with decreasing droplet-size might be because of the more exposed surface area to the outer medium (Purvis et al., 2006). The initial faster release might be owing to the drug existed in the vicinity of peripheral region of the nanoparticle and/or attached on its surface (Patel et al., 2014, Li et al., 2008).

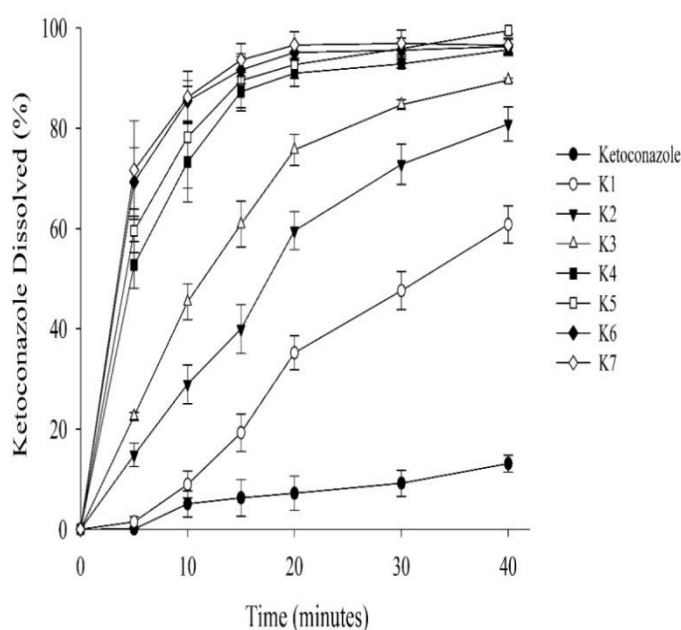


Fig. 2. Release profiles of ketoconazole from various formulations. Each value appears as mean \pm S.D. ($n = 6$)

Thus, formulation K4, containing ketoconazole, GMS and palmitic acid at the weight ratio of 0.2/1.0/1.0, was

chosen for further study. The release rate of formulation K4 was $73.27\pm 8.03\%$, which was not significantly different from formulations K5, K6 and K7. Likewise, average droplet-size ($n = 3$) with K4 was 326.50 ± 30.00 nm and a PDI value of 0.259. The droplet-size distribution by intensity of SLNs that ranged from 150 nm to 1000 nm as shown in (Fig. 3).

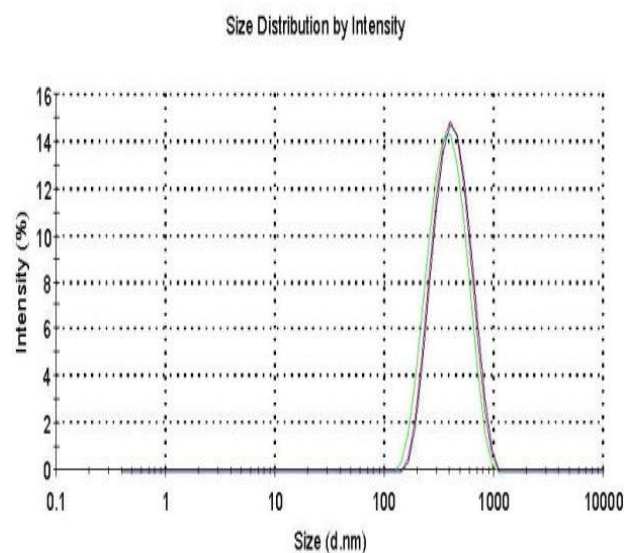


Fig. 3. Size distribution by intensity of SLNs of formulation K4

3.4 Drug Content and Entrapment Efficiency

The drug entrapment efficiency of SLNs preparations was determined. The drug content or entrapment efficiency of K4 formulation calculated was $99.67\pm 0.29\%$ ($n = 3$). Thus, the entrapment efficiency of SLNs preparation was considered equivalent to the drug content in our studies (Luo et al., 2006, Patel et

al., 2014).

The K4 formulation exhibiting $99.67 \pm 0.29\%$ entrapment of the drug was equivalent to 10 mg ketoconazole theoretically; however, the actual drug present in the formulation equivalent to 10 mg ketoconazole was 9.967 mg. The weight of the whole formulation (0.2/1.0/1.0, w/w/w) equivalent to 10 mg drug was 110 mg. Thus, the drug loading capacity calculated was 9.061% (Luo et al., 2006, Ramasamy et al., 2012).

3.5 X-Ray Diffractometry (XRD)

Crystalline property of the drug and other samples was assessed by the XRD technique. Figure 4, shows the XRD patterns of the samples. Ketoconazole plain drug powder exhibited typical crystalline peaks between about 15° - 30° (Fig. 4a). The prominent peaks of GMS was observed between 8.5° - 25.5° (Fig. 4b). Whereas, Palmitic acid showed very sharp peaks in between 16° - 25.5° as shown in figure. 4c. This suggested that both the GMS and palmitic acid were of crystalline or semi-crystalline nature. The distinguishing peaks of ketoconazole in the pattern of physical mixture were located at 16.14° , 16.70° , 26.17° and 27.70° (Fig. 4d). All other peaks were overlapped by those of either GMS or palmitic acid. The appearance of peaks in the pattern of physical

mixture was indicative of the existence of crystalline nature of the components. In the pattern of K4 formulation, distinguishing peaks of ketoconazole were absent; however, some peaks related to GMS and palmitic acid appeared in the pattern with reduced intensity (Fig. 4e). This suggested that the drug might be transmuted from crystalline state to the amorphous state in the SLNs. However, GMS and palmitic acid were recrystallized with low intensity might be because of entrapment of the drug.

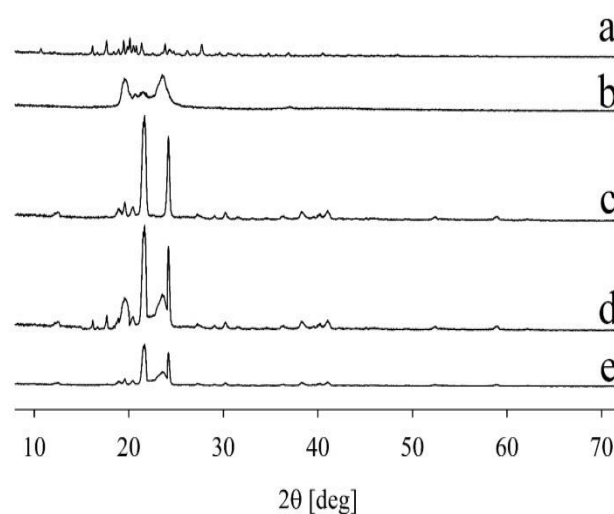


Fig. 4. XRD patterns: (a), ketoconazole; (b), GMS; (c), palmitic acid; (d) physical mixture; (e) SLNs of formulation K4

3.6 Differential Scanning Calorimetry (DSC)

Thermal properties of the samples are shown in (Fig. 5). Ketoconazole produced a deep endotherm at about 150°C commensurate to its melting point confirming its typical crystalline nature

(Fig. 5a). GMS also resulted in a sharp endotherm at about 60°C commensurate to its melting point (Fig. 5b). Palmitic acid gave a deep endotherm at about 65°C commensurate to its melting point (Fig. 5c). These results suggested that all these samples were crystalline in nature. Moreover, melting points of GMS and palmitic acid were very close to each other. Each of these endotherms appeared at the same location in the thermogram of physical mixture (Fig. 5d). Peaks related to GMS and palmitic acid appeared at their respective places in the thermogram of formulation K4 suggesting that they were recrystallized to some extent during SLNs formation (Fig. 5e). However, very interestingly, peak associated with ketoconazole was either vanished completely or diminished too much in the thermogram of SLNs. This confirmed the transmutation of crystalline form of the API into the amorphous form in the SLNs (Fig. 5e).

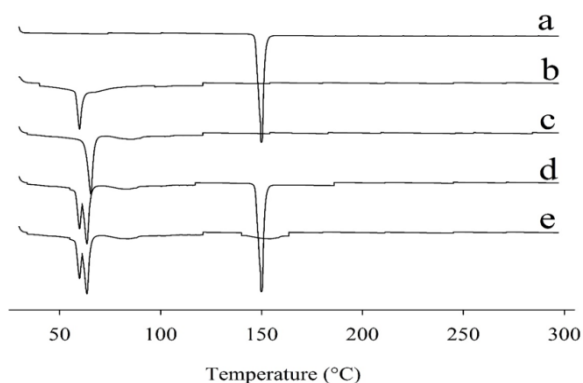


Fig. 5. DSC thermograms: (a), ketoconazole; (b), GMS; (c), palmitic acid; (d) physical mixture; (e) SLNs of formulation K4

3.7 Scanning Electron Microscopy (SEM)

The morphology and surface characteristics of ketoconazole plain powder and SLNs formulation K4 are shown in (Fig. 6A and 6B), respectively. Ketoconazole was consisting of cylindrical particles with irregular shapes and sizes (Fig. 6A). On the other hand, (Fig. 6B) is showing spherical SLNs of about 300 nm size having almost smooth surfaces.

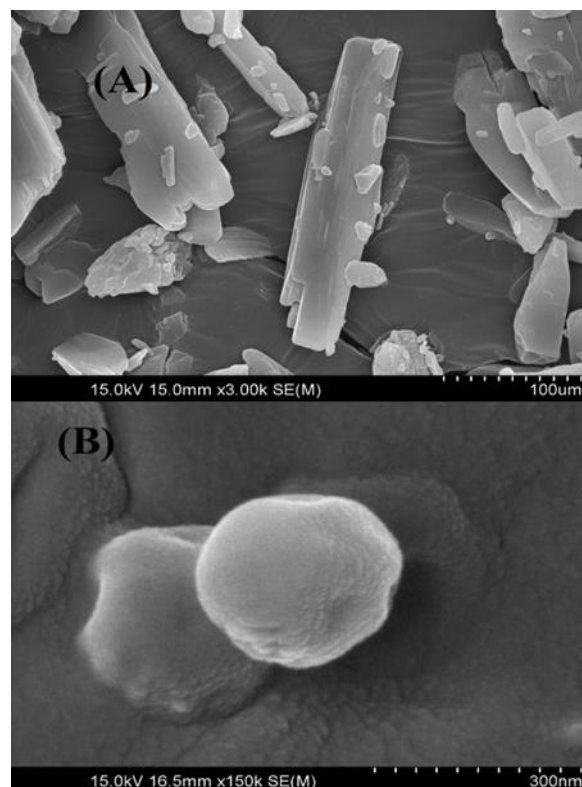


Fig. 6. SEM images: (A) ketoconazole plain powder (x 3000), (B) SLNs of formulation K4 (x 150,000)

3.8 Transmission Electron Microscopy (TEM)

TEM results revealed that the SLNs were spherical in shape and smooth surface

with a few aggregations (Fig. 7). This suggested that most of the particles contributed towards monodispersed behavior. Moreover, there were numerous particles with different sizes. However, each individual particle was of < 350 nm particle size. This seems in well covenant with the particle size determination with Zetasizer.

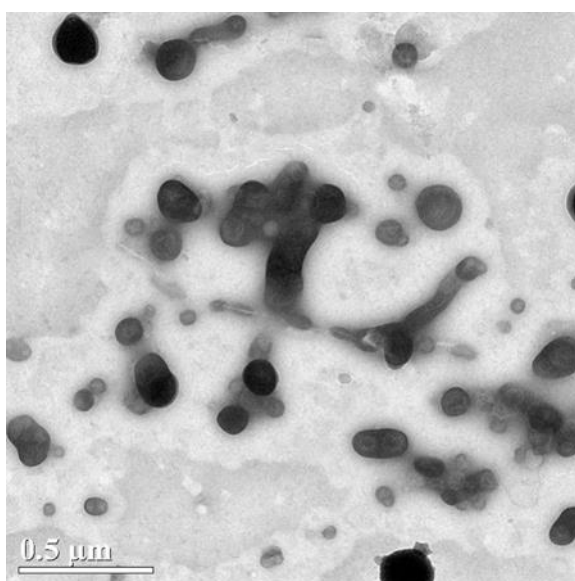


Fig. 7. TEM image of SLNs of formulation K4

3.9 Fourier Transform Infrared Spectroscopy (FTIR)

The drug excipients compatibility studies were carried out using FTIR spectroscopy to detect any possible interaction between pure ketoconazole with excipients used in the formulations. FTIR results of ketoconazole, GMS, palmitic acid, physical mixture and SLNs formulation K4 are given in (Figs. 8a, 8b, 8c, 8d and 8e), respectively.

The recorded infrared spectra of pure ketoconazole, GMS, palmitic acid, physical mixture and SLNs formulation K4, indicated that no drug-excipients interaction occurred. The chief distinctive peaks of ketoconazole which appeared in the spectrum of physical mixture were located at 585 cm^{-1} , 664 cm^{-1} , 811 cm^{-1} , 901 cm^{-1} and 1645 cm^{-1} . These spikes also witnessed in the spectrum of SLNs; there was no shift of these peaks. Thus, it indicated that ketoconazole had no covalent interaction with GMS or palmitic acid in the SLNs.

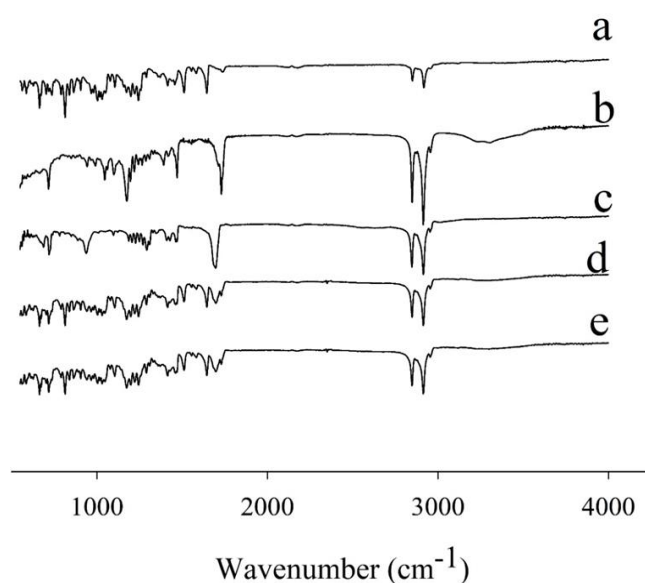


Fig. 8. FTIR spectra: (a), ketoconazole; (b), GMS; (c), palmitic acid; (d) physical mixture; (e) SLNs of formulation K4

4. Conclusions

SLNs formulation K4, consisting of ketoconazole, GMS and palmitic acid (0.2/1.0/1.0, w/w/w), furnished average droplet-size of $326.50 \pm 30.00\text{ nm}$ and a

PDI of 0.259, and a release rate of $73.27 \pm 10.16\%$ (15-fold quicker than that of the plain drug powder) in 10 minutes. The API existed in the amorphous form in the smooth-surface round-shaped SLNs. The drug had no vigorous bonding with the excipients. The accelerated release rate of ketoconazole in SLNs can be ascribed to: (i) decreased particle-size, (ii) increased surface area exposed to the outer medium, (iii) transmutation of the crystalline drug into its amorphous counterpart or decreased crystallinity, and (iv) presence of the drug in the vicinity of peripheral region of the nanoparticle and/or attached on its surface. Thus, this SLNs formulation can be incorporated into hydrogel, cream, lotion or some other suitable vehicle for topical use or can be utilized in a suitable dosage form to be used orally for more effective delivery of ketoconazole.

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